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| Request for IRB Review for Studies Involving Prisoners |
| when to complete this form |
| If your study involves prisoners or subjects likely to become prisoners during the study, you will need to complete this form and submit it along with you IRB application materials. Pursuant to 45CFR §46.305 there are some additional considerations when conducting research with prisoners. “Prisoner” means any individual involuntarily confined or detained in a penal institution. The term is intended to encompass individuals sentenced to such an institution under a criminal or civil statute, individuals detained in other facilities by virtue of statutes or commitment procedures which provide alternatives to criminal prosecution or incarceration in a penal institution, and individuals detained pending arraignment, trial, or sentencing. Individuals are prisoners if they are in any kind of penal institution, such as a prison, jail, or juvenile offender facility, and their ability to leave the institution is restricted. Prisoners may be convicted felons or untried persons who are detained pending judicial action, for example, arraignment or trial.Also, if your study will include subjects likely to become prisoners during the study (for example, subjects in substance abuse treatment studies) the IRB may review the research prospectively for prisoner involvement. This prospective review is good as your study must stop and be re-reviewed if a subject becomes a prisoner during the study and it was not initially reviewed according to these additional criteria. |
| Yes or no, does your study or is your study likely to involve prisoners? Yes [ ]  No [ ]  If you answered “yes,” please complete the form below |
| Research PROJECT INFORMATION |
| Project Title:  |
| Principal Investigator (PI):  |
| PI Phone #:  | PI Email Address:  |
| The research must fall under one of the four categories listed below. Please indicate which one your research is within. |
| [ ]  Study of the possible causes, effects, and processes of incarceration, and of criminal behavior, provided that the study presents no more than minimal risk and no more than inconvenience to the subjects; [ ]  Study of prisons as institutional structures or of prisoners as incarcerated persons, provided that the study presents no more than minimal risk and no more than inconvenience to the subjects;[ ]  Research on conditions particularly affecting prisoners as a class (for example, vaccine trials on hepatitis, research on alcoholism, drug addiction or sexual assaults which is much more prevalent in prisons than elsewhere); or[ ]  Research on practices which have the intent and reasonable probability of improving the health or well-being of the subject.  |
| Please give a brief description of your project and how subjects are within the category selected above: |
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| Please answer yes, no or not applicable (NA) to the following questions.  |
| 1. Are the possible advantages to the prisoner for participation in the research, when compared to the general prison conditions, so disparate that the prisoner can’t fairly weigh the risks against the advantages?
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| 1. Are the risks involved in the research greater than risks that would be accepted by non-prisoner subjects?
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| 1. Is selection of subjects within the prison is fair to all prisoners and immune from arbitrary intervention by prison authorities or prisoners?
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| 1. Are subjects selected randomly from the group of available prisoners who meet the characteristics needed for the research project? If not, please provide justification below.
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| 1. Is the information presented in language which is understandable to the subject population?
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| 1. Can you confirm that a parole board will not take into account a prisoner's participation in the research in making decisions regarding parole, and each prisoner is clearly informed in advance that participation in the research will have no effect on his or her parole?
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| 1. Is there any follow-up or subject involvement that could be difficult to complete because of prisoner parole or re-entry into the community? If so, please provide details below outlining how you intend to address this.
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| Please provide additional details as needed. |
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